LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.	APPLICATION NO.
6925-310	TBA
APPLICANT	
Young et al.	
FILING DATE	**GROUP**
March 15, 2002	2761

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
ʃMʊ	AA	5,819,238	10/98	Fernholz	705	36	
	AB	5,799,287	8/98	Dembo	705	36	
	AC	5,774,880	6/98	Ginsberg	705	36	
	AD	5,689,652	11/97	Lupien et al.	705	37	
	AE	5,644,727	7/97	Atkins	705	40	
	AF	5,590,325	12/31/96	Kolton et al.	395	615	
	AG	5,517,406	5/14/96	Harris et al.	364	408	
	AH	5,508,913	4/96	Yamamoto et al.	705	37	
	AI	5,500,793	3/96	Deming Jr. et al.	705	37	
	AJ	5,414,838	05/09/95	Kolton et al	395	600	06/11/92
	AK	5,375,055	12/20/94	Togher et al.	364	408	02/03/92
	AL	5,305,200	04/19/94	Hartheimer et al.	364	408	11/02/90
	AM	5,297,031	03/22/94	Gutterman et al.	364	408	03/06/90
	AN	5,270,922	12/14/93	Higgins	364	408	06/27/91
	AO	5,267,148	11/30/93	Kosaka et al.	364	408	02/06/90
	AP	5,168,446	12/01/92	Wiseman	364	408	05/23/89
	AQ	5,148,365	09/15/92	Dembo	364	402	08/15/89
	AR	5,132,899	07/21/92	Fox	364	408	10/16/89
	AS	5,126,936	06/30/92	Champion et al.	364	408	09/01/89
	AT	5,101,353	03/31/92	Lupien et al.	364	408	05/31/89
	AU	5,077,665	12/31/91	Silverman et al.	364	408	05/25/89
	AV	4,903,201	02/20/90	Wagner	364	408	11/03/83
	AW	4,799,156	1/89	Shavit et al.	705	26	
	AX	4,677,552	06/30/87	Sibley, Jr.	364	408	10/05/84
	AY	4,674,044	06/16/87	Kalmus et al.	364	408	01/30/85
	AZ	4,597,046	6/86	Musmanno	705	36	
	BA	4,412,287	10/25/83	Braddock, III	364	408	09/15/82
	BB	4,376,978	3/83	Musmanno	705	36	
	BC	4,346,442	8/82	Musmanno	705	36	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
ʃMʊ	BD	WO 97/08640	03/06/97	PCT				

NY2 - 1296943.1

	BE	WO 97/07475	02/27/97	PCT						
	BF	WO 96/17317	06/06/96	PCT						
	BG	WO 95/26005	09/28/95	PCT						
	BH	WO 95/06918	03/09/95	PCT						
	BI	GB 2274345A	07/20/94	Great Britain						
	BJ	GB 2258061A	01/27/93	Great Britain						
	BK	EP 0448800A1	10/02/91	EP						
	BL	WO 90/11571	10/04/90	PCT						
	BM	WO 90/10910	09/20/90	PCT						

		OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*
	BN	Sales, Robert, "Play Fair with the Little Guy," Wall Street & Technology, vol. 15, no. 1, page 42(5), January 1997.
	BO	Hayes, John R., "Acquisition is Fine, But Organic Growth is Better," Forbes, vol. 158, no. 15, pages 52(4), 12/96.
	BP	CCH Tax Law Editors. *1997 U.S. Master Tax Guide*, p. 465, 79th Edition. Chicago, November 1995.
	BQ	CPLEX Optimization, Inc. Using the CPLEX Callable Library. Version 4.0. 930 Tahoe Blvd., Bldg. 802, Incline Village, NV 89451. http://www.cplex.com, 1995.
	BR	H. Dahl et al., *Some Financial Optimization Models: I Risk Management; II Financial Engineering.* In "Financial Optimization," S.A. Zenios, Editor. Cambridge University Press, Cambridge, 1993.
	BS	"Reuters Ltd. - Company Report," Investext, pages 1-4, 7/1/92
	BT	D.G. Luenberger. *Linear and Nonlinear Programming.* Addison-Wesley, Reading, Massachusetts, Second Edition, 1984.
	BU	P.A. Minton. *Morgan Stanley's Portfolio Analytics System.* (Presentation) Domestic Traders Conference, Morgan Stanley, New York. March 11-13, 1994.
	BV	Adamidou et al., *The Optimal Portfolio System: Targeting Horizon Total Returns Under Varying Interest-rate Scenarios.*
	BW	H.Banks, *Great Expectations*, Forbes, December 2, 1996
	BX	J. Orford, *Trading on the Frontier*, Plan Sponsor, October 1996
	BY	Proctor Lippincott Spring, O'Brien & Co., *OptiMark, IBM, Dow Jones, State Street Bank, Pacific Stock Exchange and Chicago Board Options Exchange Team Up to Introduce Revolutionary Trading System.*
	BZ	Introducing a New Way to Trade, Optimark

EXAMINER S. Diaz	DATE CONSIDERED 6/23/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with **MPEP 609**; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.